Under the Paperwork Reduction Act of 1995, no person	U.S. Paters are required to respond to a collection Application Number Filing Date	Approved for use through 08/30/2003. OMB 0851-0031 and Trademark Office; U.S. DEPARTMENT OF COMMERCE on of information unless it displays a valid OMB control number. 10/091,074					
FORM	First Named Inventor	March 5, 2002					
(to be used for all correspondence after initial filing)	Art Unit	David M. Tallman	Ì				
(10 be used for all correspondence after fittillar larity)	Examiner Name						
	Ronald W. Leja						
Total Number of Pages in This Submission 10	Attorney Docket Number	01-EDP-451'	1				
ENCLOSURES (Check all that apply)							
	Drawing(s) Licensing-related Papers	After Allowance communication to Technology Center (TC) Appeal Communication to Board					
Amendment/Reply	Petition Petition to Convert to a Provisional Application	of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information					
Affidavits/declaration(s)	Provisional Application Power of Attorney, Revocation Change of Correspondence Addr Terminal Disclaimer						
Express Abandonment Request	Request for Refund  CD, Number of CD(s)	RECEIV	ED CENTER				
Certified Copy of Priority Document(s)  Response to Missing Parts/	rks	MAR 0 3	2064				
Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53		OFF	CA				
	·						
	F APPLICANT, ATTORN	EY, OR AGENT					
Firm or Individual name Martin J. Moren  Signature Date							
March 3, 2004							
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Typed or printed name Terri F. Mikolajczyk							
Signature Twi T.	mikolayan	Date 3 3 04					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Signature

PTO/SB/17 (10-03)

March 3, 2004

Approved for use through 07/31/2006, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid QMB control number.								
		Complete if Known						
FEE TRANSMITTAL			cation N	umber	10/091,074			
for EV 2004		Filing Date			March 5, 2003	March 5, 2003		
for FY 2004					David M. Tallman			
Effective 10/01/2003. Patent fees are subject to annual revision.		Examiner Name			Ronald W. Leja	Ronald W. Leia		
Applicant claims small entity status. See 37 CFR 1.27		Art Unit			2836			
TOTAL AMOUNT OF PAYMENT (\$) 344.00		Attorney Docket No.		cot No	01-EDP-451			
METHOD OF PAYMENT (check all that apply)		Title in a positive in a particular in a parti						
		FEE CALCULATION (continued)						
Check Credit card Money Other None			ONAL I		•			
Deposit Account:	Fee		I	eo	Fee Description			
Deposit Account 05-0275	Cod	e (\$)	Code (	\$)		eo Paid		
Number	1051		2051		urcharge - late filing fee or oath			
Deposit Account	1052	50	2052		urcharge - late provisional filing fee or over sheet			
Name The Director is authorized to: (check all that apply)	1053	130	1053		on-English specification			
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812 2,5		or filing a request for ex parte reexamination			
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804		equesting publication of SIR prior to xaminer action			
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805 1,		equesting publication of SIR after			
to the above-identified deposit account.	1251	110	2251		xaminer action ixtension for reply within first month			
FEE CALCULATION	1252				extension for reply within second month			
1. BASIC FILING FEE Large Entity Small Entity	1253				xtension for reply within third month			
Fee Fee Fee Fee Description Fee Paid		1,480			xtension for reply within fourth month			
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255	2,010	2255 1	1,005 E	extension for reply within fifth month			
1002 340 2002 170 Design filing fee	1401	330	2401	165 N	lotice of Appeal			
1003 530 2003 265 Plant fiting fee	1402	330	2402	165 F	iling a brief in support of an appeal			
1004 770 2004 385 Relssue filing fee	1403	290	2403	145 R	equest for oral hearing			
1005 160 2005 80 Provisional filing fee	1451	1,510	1451 1	1,510 P	etition to institute a public use proceeding			
SUBTOTAL (1) (\$)	1452	110	2452	55 P	etition to revive - unavoidable			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665 P	etition to revive - unintentional	[]		
Fee from		1,330	2501		Itility issue fee (or reissue)			
Total Claims Extra Claims below Fee Paid  Total Claims X = X	1502		2502		Design issue fee			
Independent 7 244	1503		2503		Plant issue fee			
Claims (7 - 3 - 4 - A 60 - 4 344 - A 60 - A 6	1460		1460		Petitions to the Commissioner			
Large Entity   Small Entity	1807 1806		1807 1806		Processing fee under 37 CFR 1.17(q) ubmission of Information Disclosure Strnt			
Fee Fee Fee Fee Fee Description Code (\$) Code (\$)					ecording each patent assignment per			
1202 18 2202 9 Claims in excess of 20	8021		8021	<sup>40</sup> p	roperty (times number of properties)			
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809		iling a submission after final rejection 37 CFR 1.129(a))			
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810		or each additional Invention to be			
1204 86 2204 43 ** Reissue independent claims over original patent	180	1 770	2801		xamined (37 CFR 1.129(b)) Request for Continued Examination (RCE)			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	i	1802	900	Request for expedited examination of a design application			
<u> </u>	Othe	or a design application  Other fee (specify)						
SUBTOTAL (2) (\$) 344  **or number previously paid, if greater; For Relissues, see above continuously paid.					Paid SUBTOTAL (3) (\$)			
SUBMITTED BY (Complete (# applicable))								
Name (PrintType) Martin J. Moran	T	Registra	tion No.	26,69				
Traine is trained and property of the contract	- 1	/Attached	Aments	ZU,03	1-1 [412] 101-04/3			

WARNING Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or rotain a bonefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, cell 1-800-PTO-9199 and select option 2.

1204 86

1205

2204

2205

PTO/SB/17 (10-03)

Approved for use through 07/31/2006.	OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT	OF COMMERCE
4 to 1 to 10 to	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.									
FEE TRANSMITTAL			Complete If Known						
FEE IKANSWIIIIAI			Application Number			10/091,074			
for FY 2004		Filing Date			March 5, 2003				
			First Named Inventor			David M. Tallman			
Effective 10/01/2003. Patent fees are subject to annual revision.		Examiner Name				Ronald W. Leja			
Applicant claims small entity status. See 37 CFR 1.27		Art Unit				2836			
TOTAL AMOUNT OF PAYMENT (\$) 344.00			Attorney Docket No. 01-EDP-451						
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)								
Check Credit card Money Other None	3. ADDITIONAL FEES								
I — L — Order L — ······	Lerge Entity   Small Entity								
Deposit Account:	Fee Cod	Fee		Fee		Fee Description	F B		
Account Number 05-0275	1051	• • •	Code 2051		Surch	arge - late filing fee or oath	Fee Paid		
Deposit Account	1052		2052	25	Surch	arge - late provisional filing fee or			
Name	1053	130	1053			sheet Inglish specification			
The Director is authorized to: (check all that apply)		2,520	1812 2			ng a request for ex parte reexamination			
Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) or any underpayment of fee(s)		920°	1804			uesting publication of SIR prior to miner action			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1,840*	1805	1,840*		esting publication of SIR after inner action			
FEE CALCULATION		110	2251	55	Exten	sion for reply within first month			
1. BASIC FILING FEE	1252	420	2252	210	Exter	sion for reply within second month			
Large Entity Small Entity	1253	950	2253	475	Exter	sion for reply within third month			
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740		sion for reply within fourth month	<b> </b>		
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Exten	sion for reply within fifth month	$\longrightarrow$		
1002 340 2002 170 Design filing fee	1401		2401	165	Notic	e of Appeal			
1003 530 2003 265 Plant filing fee	1402		2402		_	a brief in support of an appeal	<b></b>		
1004 770 2004 385 Reissue filing fee	1403		2403			est for oral hearing	$\vdash$		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451 2452			on to institute a public use proceeding on to revive - unavoidable	$\vdash$		
SUBTOTAL (1) (\$)		1.330	2453			on to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,330	2501			r Issue fee (or reissue)			
Fee from Ext <u>ra Claims below Fee Paid</u>	1502		2502		•	in issue fee			
Total Claims20** = X =	1503	640	2503		•	issue fee			
Independent 7 - 3** = 4 x 86 -344	1460	130	1460	130	Petiti	ons to the Commissioner			
Multiple Dependent	1807	50	1807	50	Proce	essing fee under 37 CFR 1.17(q)			
Large Entity   Small Entity   Fee   Fee	1806	180	1806			ission of Information Disclosure Stmt	<b> </b>		
Code (\$) Code (\$)	8021	40	8021	40	Recor	rding each patent assignment per rty (times number of properties)			
1202 18 2202 9 Claims in excess of 20 1201 88 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing	a submission after final rejection FR 1.129(a))			
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For e	ach additional invention to be ined (37 CFR 1.129(b))			

SUBMITTED BY (Complete (# applicable))								
Name (Print/Typo)	Martin J. Moran	Registration No.	26,694	Telephone	(412) 787-6473			
Signature				Dato	March 3, 2004			

1801 770

1802

900 1802

Other fee (specify)

2801

\*Reduced by Basic Filing Fee Paid

385 Request for Continued Examination (RCE) 900 Request for expedited examination of a design application

SUBTOTAL (3)

WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form end/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

" Reissue independent clalms

\*\* Reissue claims in excess of 20 and over original patent

(\$) 344

over original patent

SUBTOTAL (2)

"for number previously paid, if greater; For Reissues, see above

## IN THE UNITED STATES PATENT AND

TRADEMARK OFFICE

Examiner: Ronald W. Leja

Eaton Case No.: 01-EDP-451

RECEIVED **CENTRAL FAX CENTER** 

Applicant(s): David M. Tallman, et al

2836

MAR 0 3 2004

Serial No.: 10/091,074

Filed: March 5, 2002

In Response to Paper No.: 20031214

Title: Locking Attachment for an Electrical Switching Apparatus

Group Art Unit:

- - - o0o - - -

March 3, 2004

Commissioner of Patents and Trademarks Washington, DC 20231

SIR:

In response to the Office Action December 24, 2003, please amend the aboveidentified application as follows: